



# Particles and Cosmology

16th Baksan School on Astroparticle Physics



# Machine Learning in Astroparticle Physics

Oleg Kalashev  
Institute for Nuclear Research, RAS

Lecture 5

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# Applying ANN to images, time series, etc.

## Translational invariance

- Handwritten digits recognition
- Classification of galaxies using images from Sloan Digital Sky Survey

0 0 0 0 0 0 0 0 0 0 0 0  
1 1 1 1 1 1 1 1 1 1 1 1  
2 2 2 2 2 2 2 2 2 2 2 2  
3 3 3 3 3 3 3 3 3 3 3 3  
4 4 4 4 4 4 4 4 4 4 4 4  
5 5 5 5 5 5 5 5 5 5 5 5  
6 6 6 6 6 6 6 6 6 6 6 6  
7 7 7 7 7 7 7 7 7 7 7 7  
8 8 8 8 8 8 8 8 8 8 8 8  
9 9 9 9 9 9 9 9 9 9 9 9

## MNIST database

("Modified National Institute of Standards and Technology")



## Galaxy Zoo Challenge

# Convolutional ANN

The main idea: extract local features and build their maps

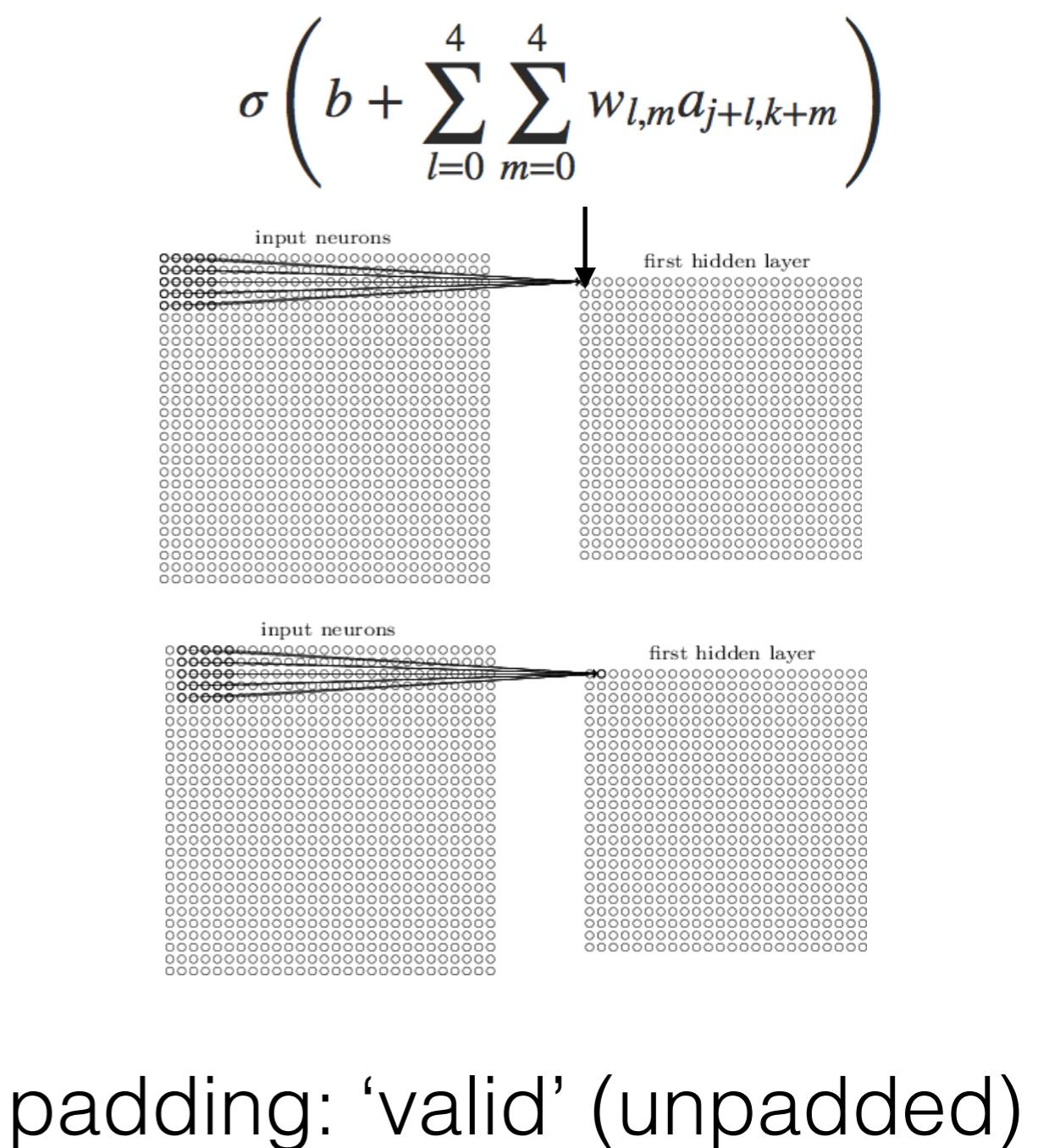
- Convolutional kernel usually has small size (compared to image)

1 x1	1 x0	1 x1	0	0
0 x0	1 x1	1 x0	1	0
0 x1	0 x0	1 x1	1	1
0	0	1	1	0
0	1	1	0	0

Image

4		

Convolved Feature



# Convolutional layer

## building feature maps

0	0	0	0	0	0	...
0	156	155	156	158	158	...
0	153	154	157	159	159	...
0	149	151	155	158	159	...
0	146	146	149	153	158	...
0	145	143	143	148	158	...
...	...	...	...	...	...	...

Input Channel #1 (Red)

0	0	0	0	0	0	...
0	167	166	167	169	169	...
0	164	165	168	170	170	...
0	160	162	166	169	170	...
0	156	156	159	163	168	...
0	155	153	153	158	168	...
...	...	...	...	...	...	...

Input Channel #2 (Green)

0	0	0	0	0	0	...
0	163	162	163	165	165	...
0	160	161	164	166	166	...
0	156	158	162	165	166	...
0	155	155	158	162	167	...
0	154	152	152	157	167	...
...	...	...	...	...	...	...

Input Channel #3 (Blue)

-1	-1	1
0	1	-1
0	1	1

Kernel Channel #1



308

1	0	0
1	-1	-1
1	0	-1

Kernel Channel #2



-498

0	1	1
0	1	0
1	-1	1

Kernel Channel #3



164

output image size is  
equal to input image  
size

Output

-25				...
				...
				...
				...
...	...	...	...	...

Bias = 1

$$a_{d,m,n}^{l+1} = \sigma(b_d + \sum_{c,\alpha,\beta} W_{dc\alpha\beta} a_{c,m\delta_1+\alpha,n\delta_2+\beta}^l)$$

padding:  
'same'

# Convolutional layer

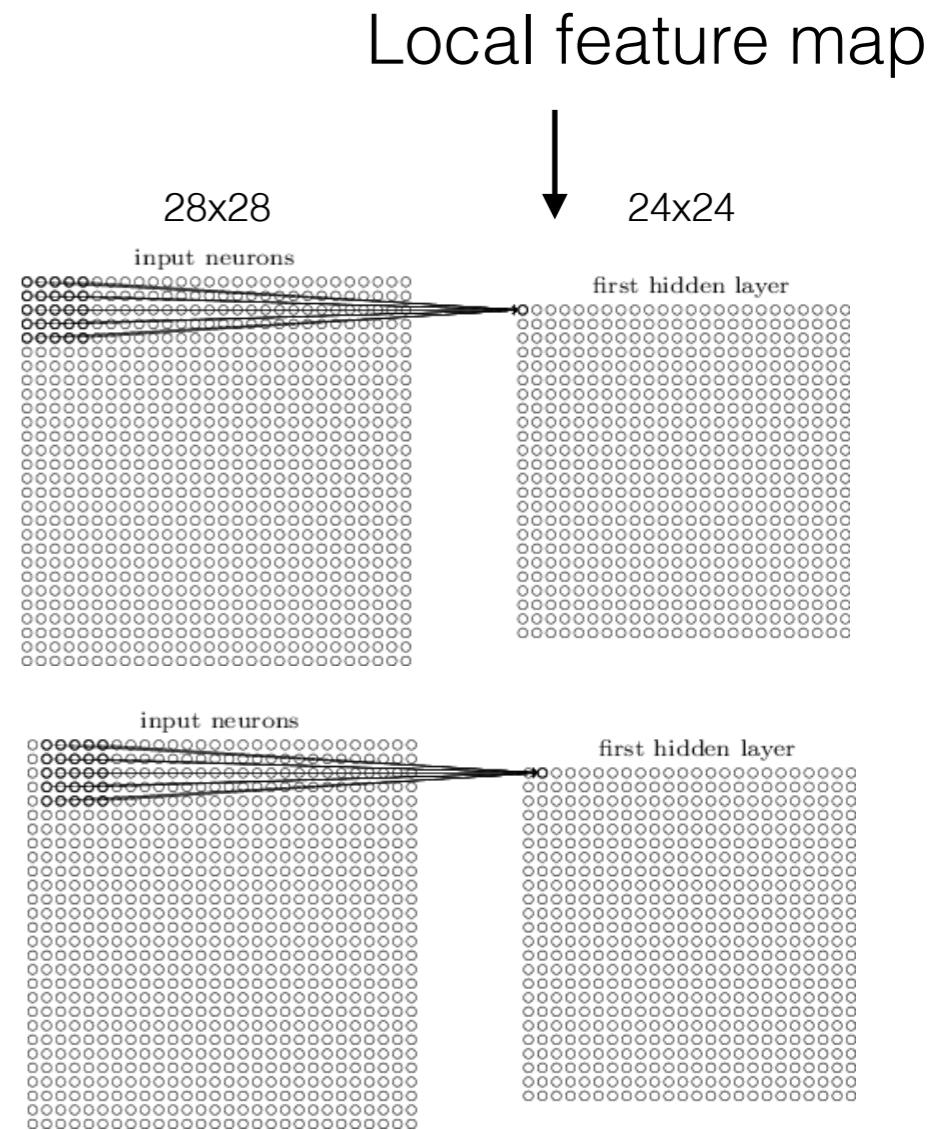
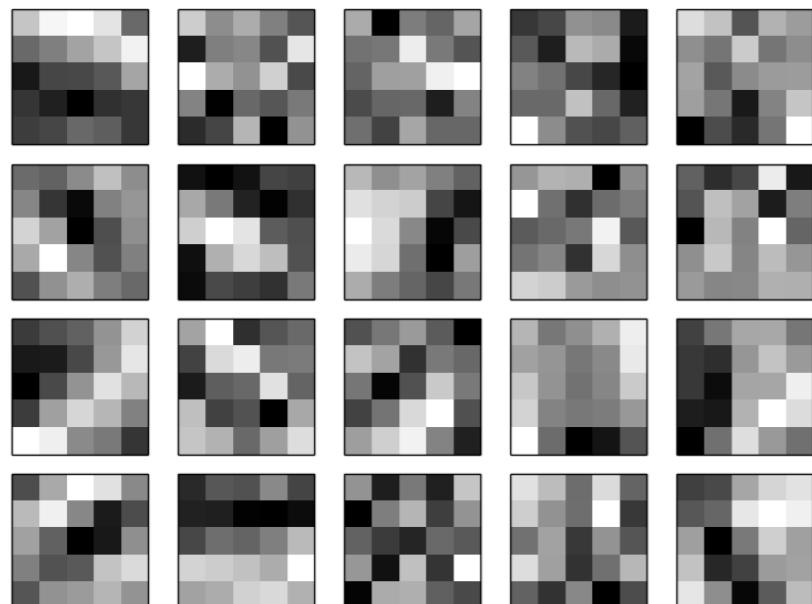
## building feature maps

- Can be viewed as MLP with most of weights equal to zero and the rest of them are shared
- Number of independent weights:

$5 \times 5 \times N$  vs  $28 \times 28 \times 24 \times 24$ , where  
 $N$  - number of maps we want to build

How filters look like (MNIST):

(weights are color-coded)



# Convolutional layer

## building feature maps

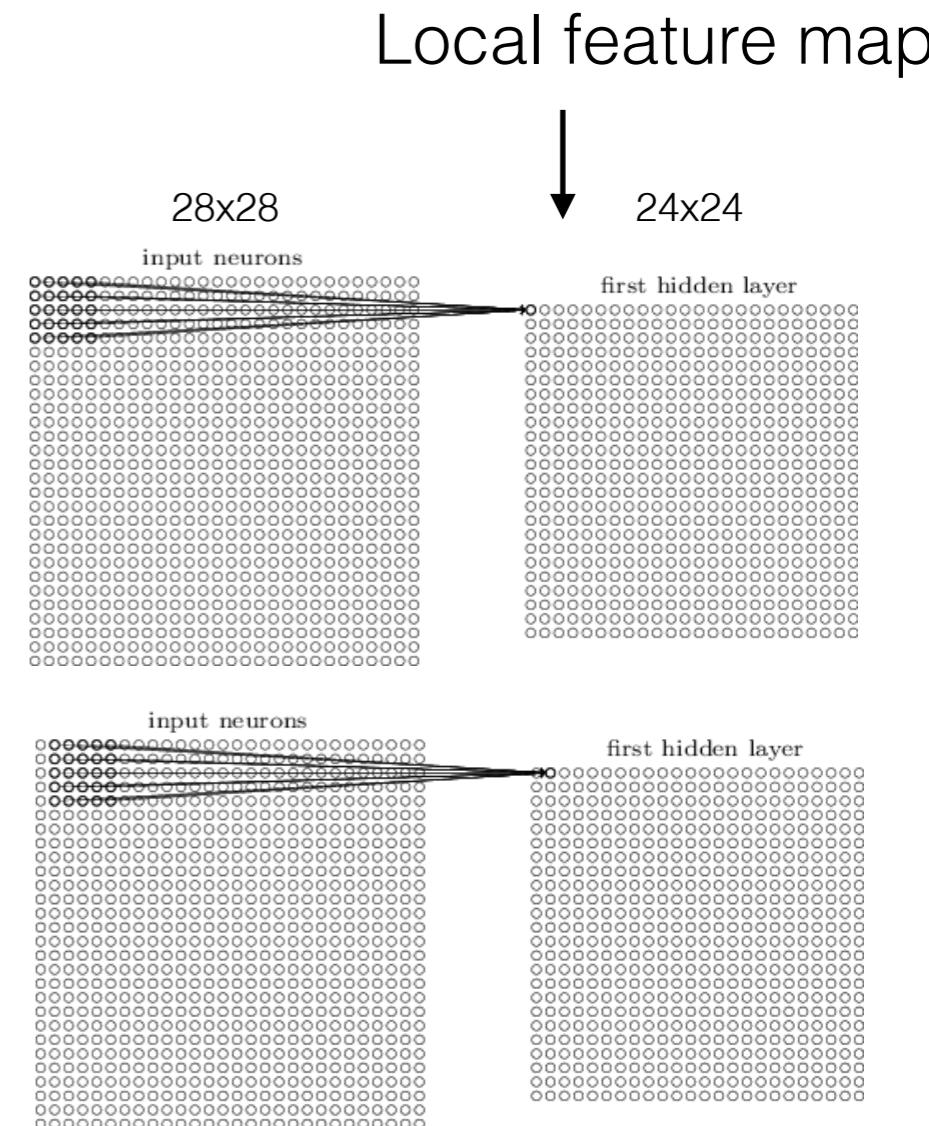
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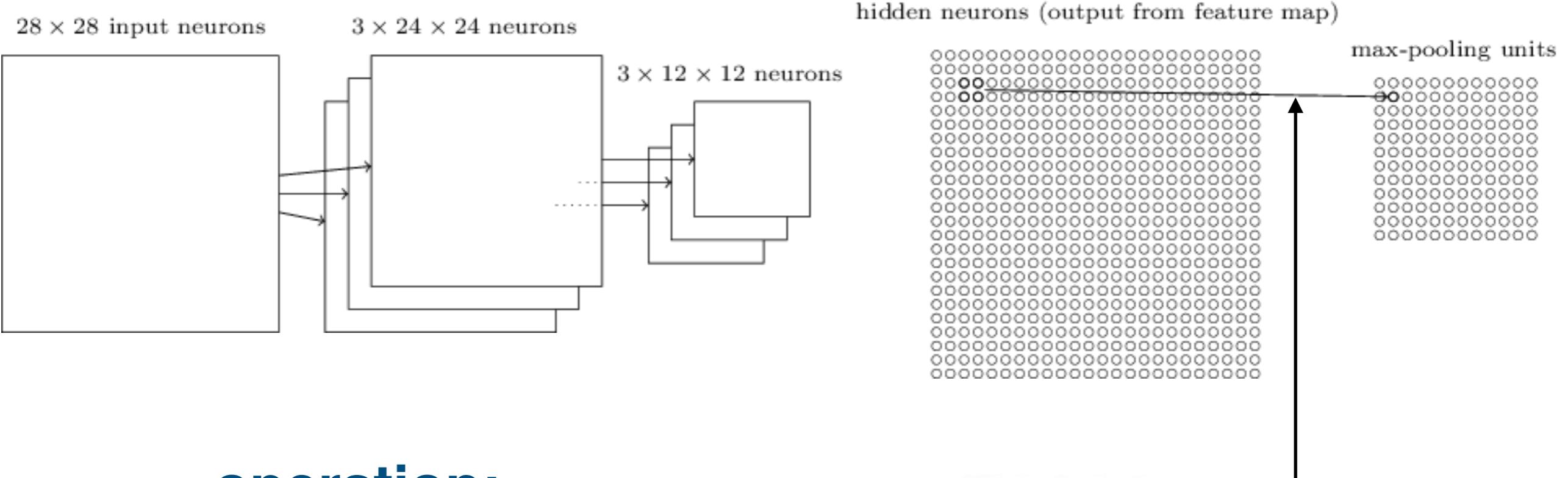
$N$  - number of maps we want to build

Convolutional layer parameters:

- kernel size
- stride
- padding: (number of zeros appended to the sides of the image before convolution)

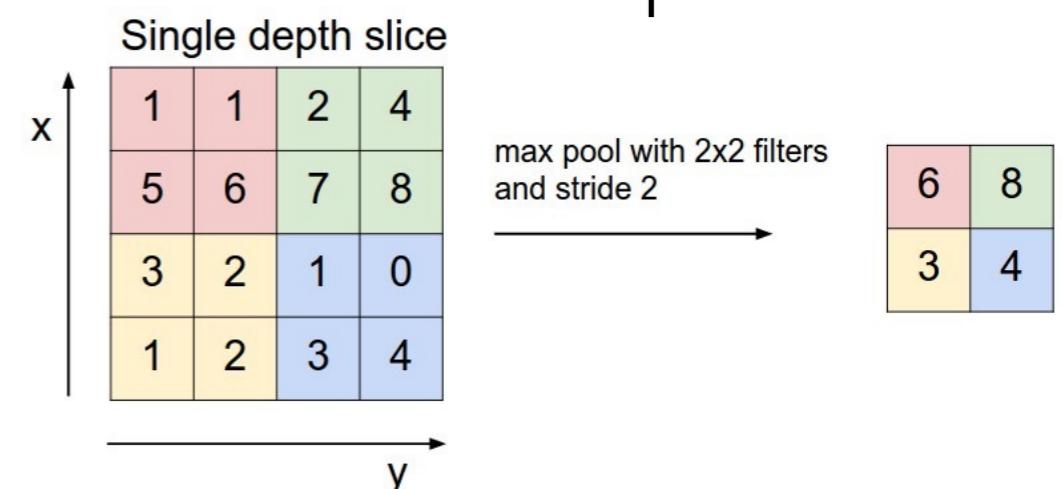


# Pooling layer



## operation:

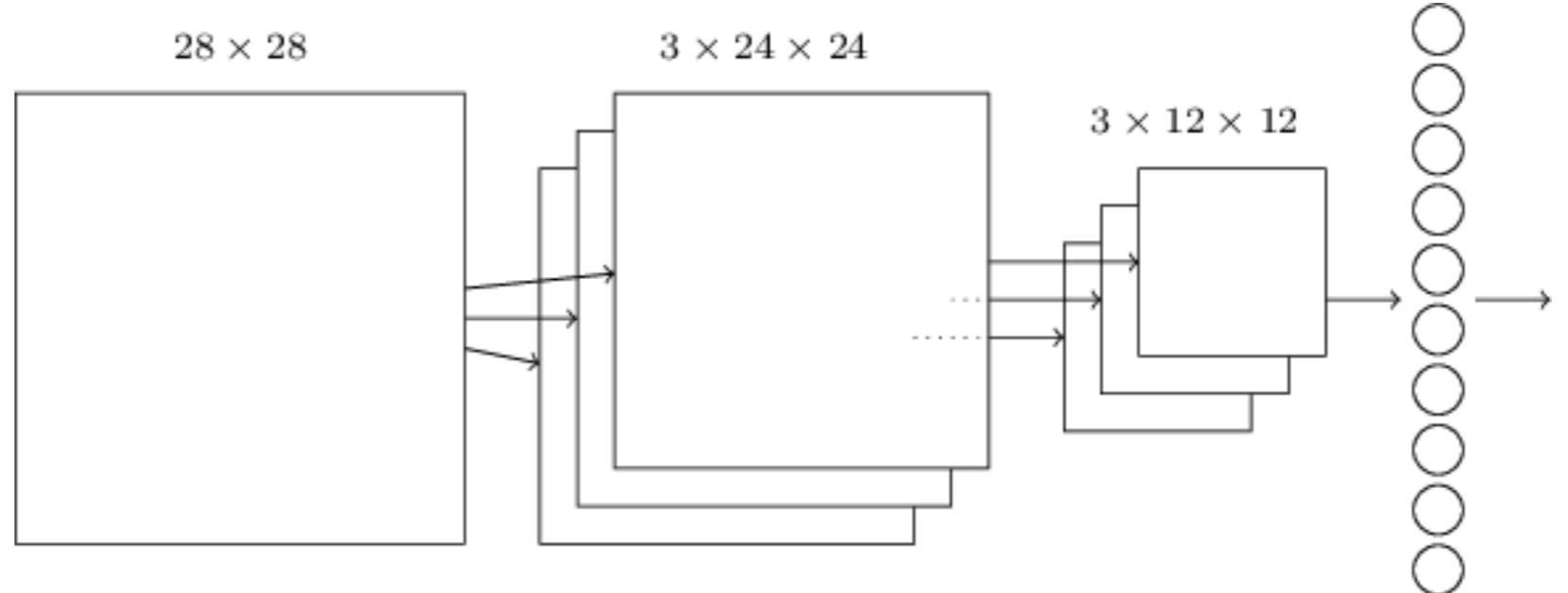
- **maximum**
- average
- minimum



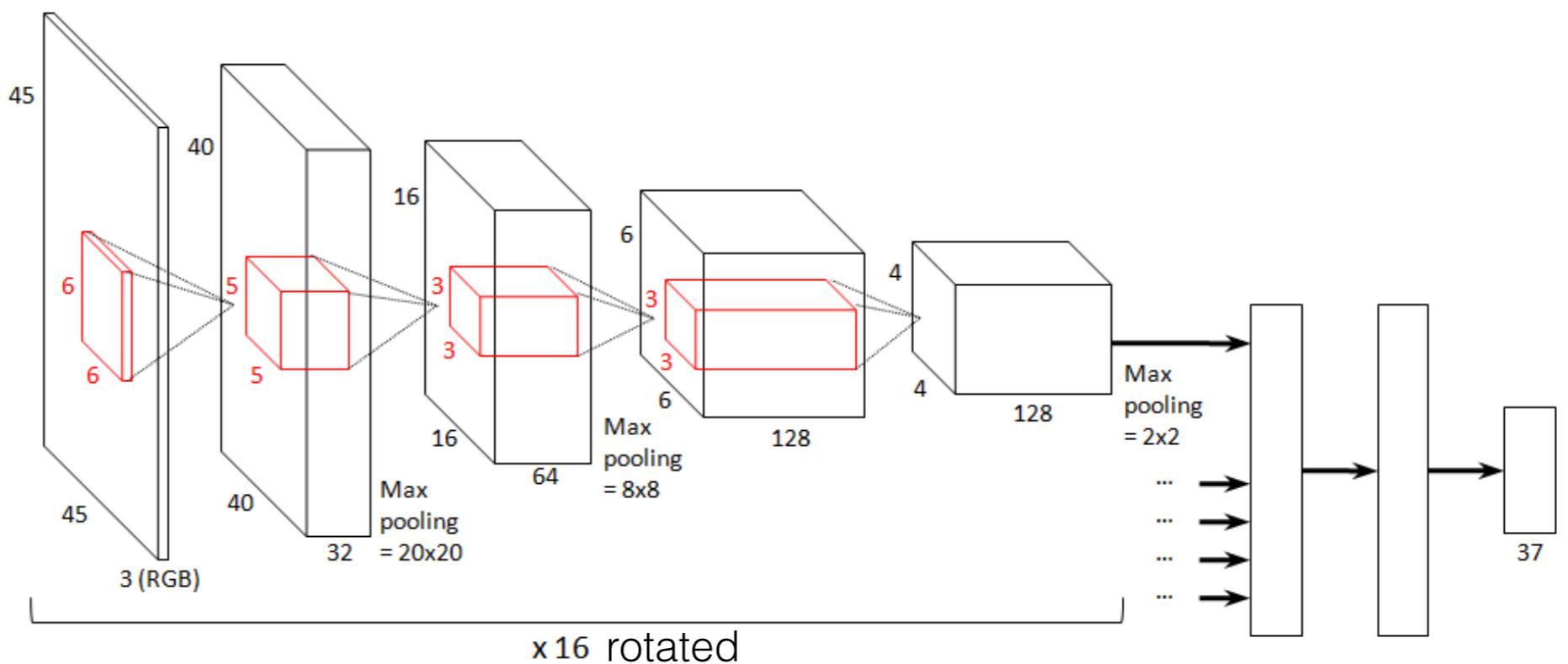
Local translational invariance is achieved via convolution-pool combination

# Convolutional ANN architecture

minimal

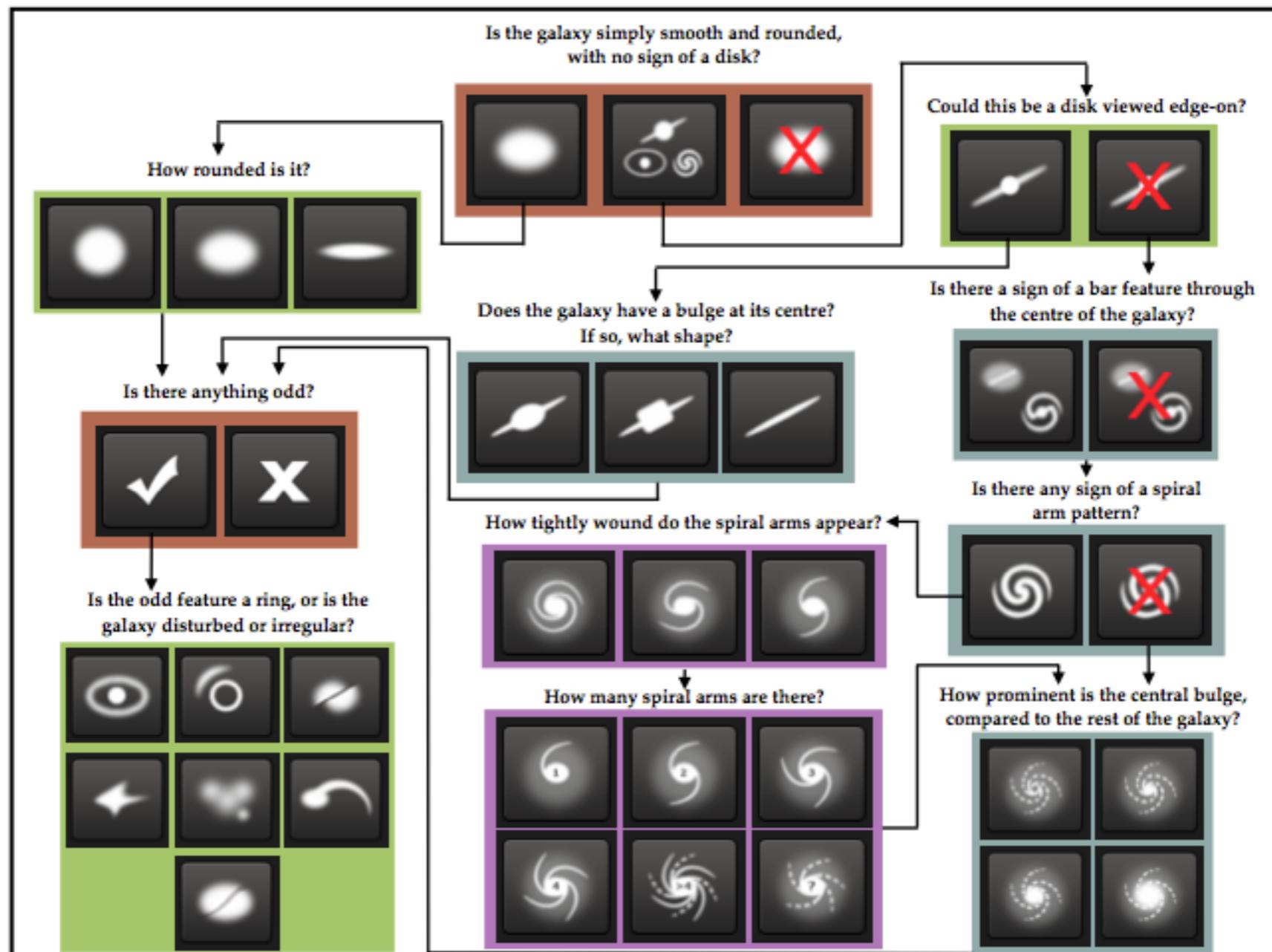


Galaxy zoo challenge winner



# Galaxy Zoo

Manual classification of galaxies using images from Sloan  
Digital Sky Survey



**Figure 1.** Flowchart of the classification tasks for GZ2, beginning at the top centre. Tasks are colour-coded by their relative depths in the decision tree. Tasks outlined in brown are asked of every galaxy. Tasks outlined in green, blue, and purple are (respectively) one, two or three steps below branching points in the decision tree. Table 2 describes the responses that correspond to the icons in this diagram.

# Galaxy Zoo Challenge

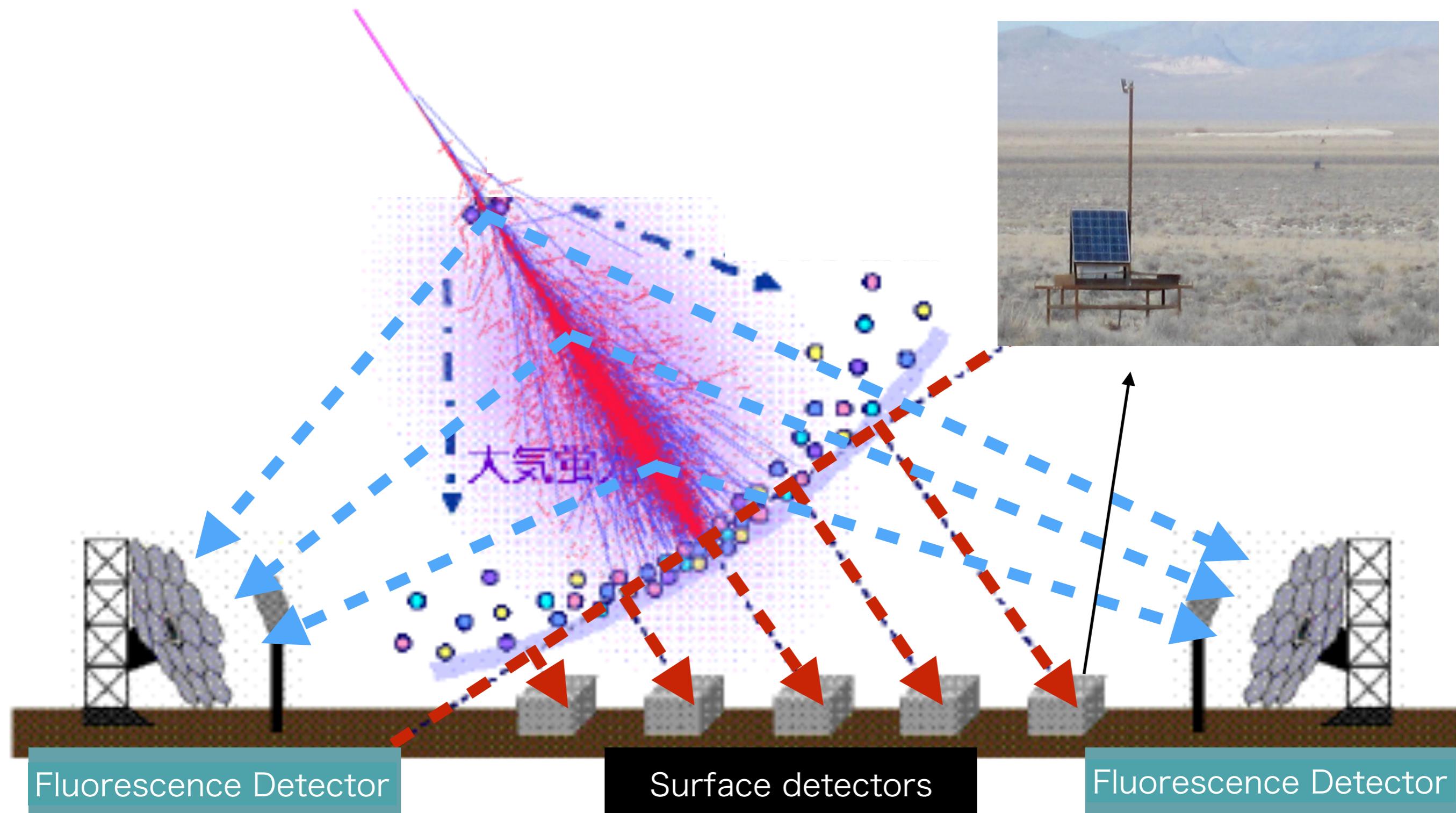
purpose: using image as input, we want to predict how Galaxy Zoo users (zooites) classify the image, i.e. predict vector of probabilities for all classes

training set: color images 424x424 along with vectors of probabilities for more than 60000 galaxies

<https://www.kaggle.com/c/galaxy-zoo-the-galaxy-challenge>

**Time to open jupyter  
notebook**

# UHECR Detection Methods



**Flourescence detectors:**  
Duty cycle ~ 10%

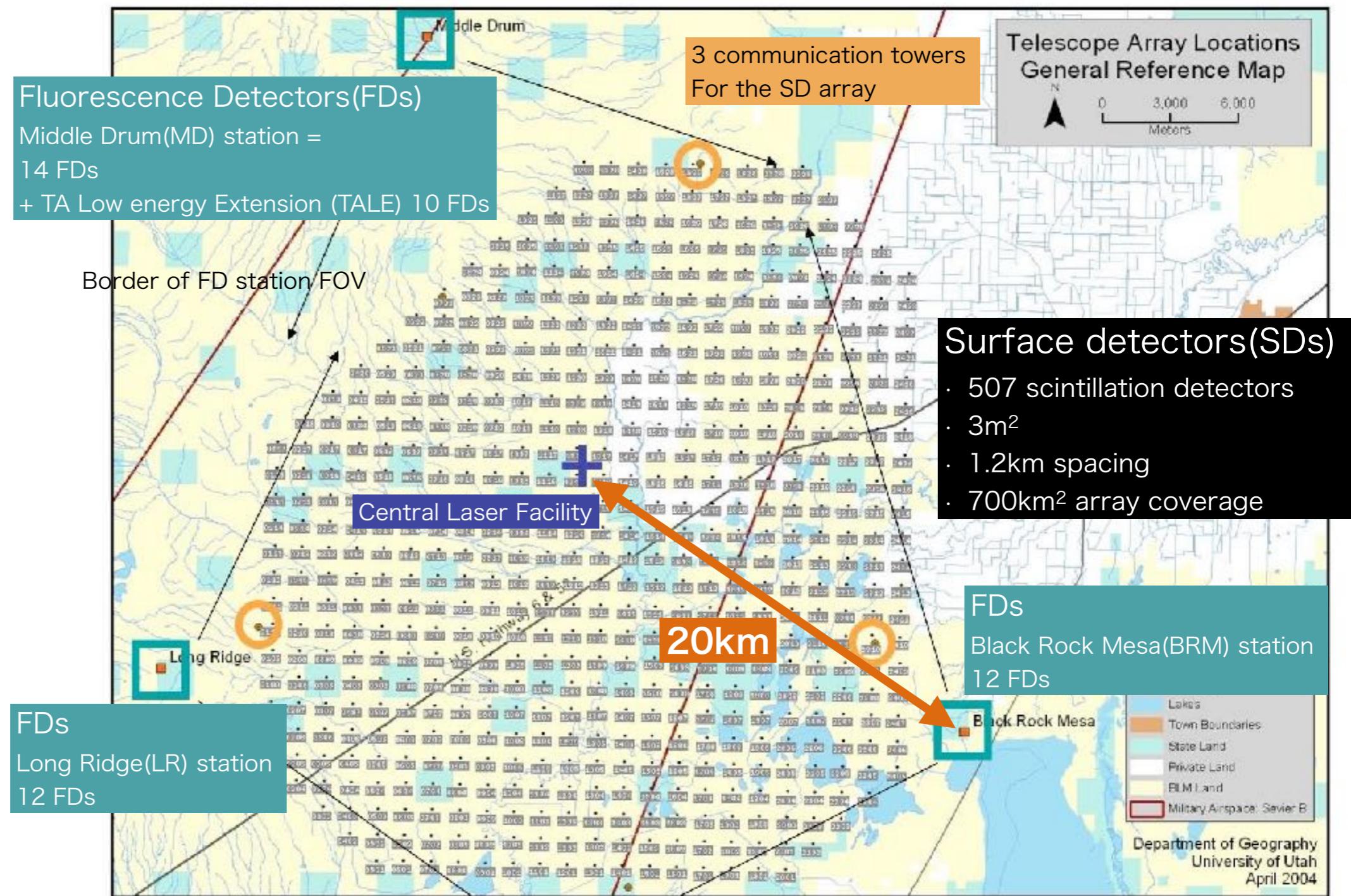
**Surface detectors:**  
Duty cycle ~ 95%

# Telescope Array

- The biggest experiment in the northern hemisphere (Utah, USA).



USA,  
Russia,  
Japan,  
Korea,  
Belgium



# Event reconstruction

*standard parametric approach*

- LDF

$$f(r) = \left(\frac{r}{R_m}\right)^{-1.2} \left(1 + \frac{r}{R_m}\right)^{-(\eta-1.2)} \left(1 + \frac{r^2}{R_1^2}\right)^{-0.6}$$

$$R_m = 90.0 \text{ m}, \quad R_1 = 1000 \text{ m}, \quad R_L = 30 \text{ m}, \quad \eta = 3.97 - 1.79 (\sec(\theta) - 1),$$

$$r = \sqrt{(x_{\text{core}} - x)^2 + (y_{\text{core}} - y)^2},$$

- Timing

$$t_r = t_o + t_{\text{plane}} + a \times (1 + r/R_L)^{1.5} LDF(r)^{-0.5}$$

$$LDF(r) = f(r)/f(800 \text{ m}) \quad S(r) = S_{800} \times LDF(r)$$

*Free parameters:*

$x_{\text{core}}, y_{\text{core}}, \theta, \phi, S_{800}, t_0, a$

*Observables:*

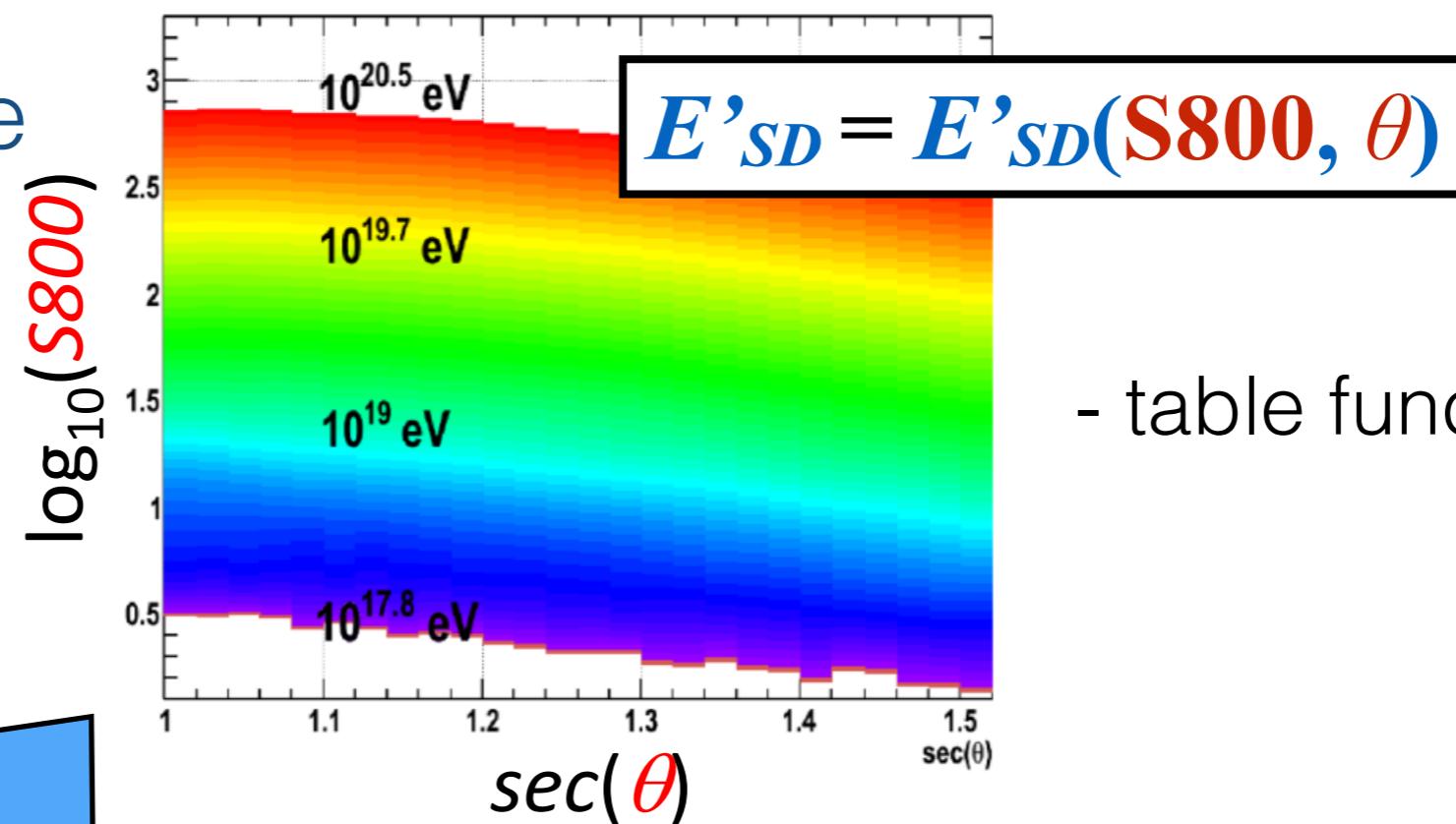
$t_r$  - detector time

$S_r$  - detector integral signal

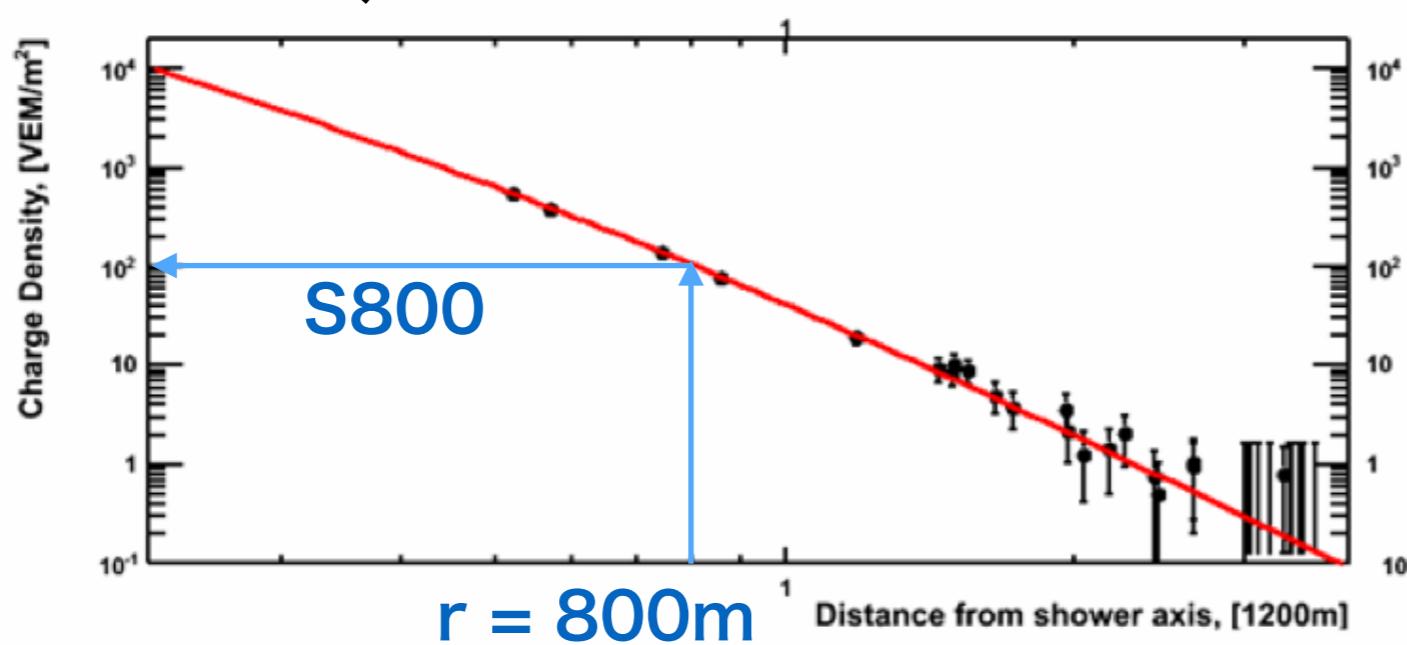
# Event reconstruction

*standard parametric approach*

Energy estimate



- table function



# Sample event

upper layer  
lower layer

Jan. 22, 2009, 22:54:22 UTC  
zenith ~38°

muon components  
~ 1 MIPs

SD1210 peak 1.5 TrgT 11.5  
SD0803 peak 1.1 TrgT 10.7  
SD0812 peak 2.2 TrgT 10.6  
SD1310 peak 1.1 TrgT 10.5  
SD1313 peak 1.4 TrgT 9.6

SD1312 peak 1.4 TrgT 7.6

SD0806 peak 1.7 TrgT 6.9

SD1211 peak 2.1 TrgT 6.8

SD1110 peak 3.4 TrgT 6.5

SD1010 peak 1.5 TrgT 5.9

SD1212 peak 2.0 TrgT 5.1

SD1111 peak 3.9 TrgT 4.4

SD1214 peak 2.2 TrgT 3.2

SD1011 peak 6.0 TrgT 3.1

SD1112 peak 71.8 TrgT 2.9

Central EM components  
~ 50 MIPs

Time step 20 ns

SD0701 peak 1.1 TrgT -12.5  
SD1613 peak 1.0 TrgT -14.0  
SD0917 peak 1.2 TrgT -24.3

SD1213 peak 1.7 TrgT 4.1

SD1001 peak 1.1 TrgT 1.8

SD1113 peak 12.1 TrgT 1.8

delayed neutrons  
~ 5 MIPs  
no signals on lower

SD1012 peak 79.4 TrgT 1.2

SD1114 peak 2.4 TrgT 1.3

SD1013 peak 21.5 TrgT 0.1

SD0912 peak 7.3 TrgT -0.2

SD0715 peak 1.6 TrgT -0.3

SD1014 peak 2.1 TrgT -0.6

SD0913 peak 1.7 TrgT -1.1

SD0914 peak 1.5 TrgT -2.1

# SD reconstruction NN architecture

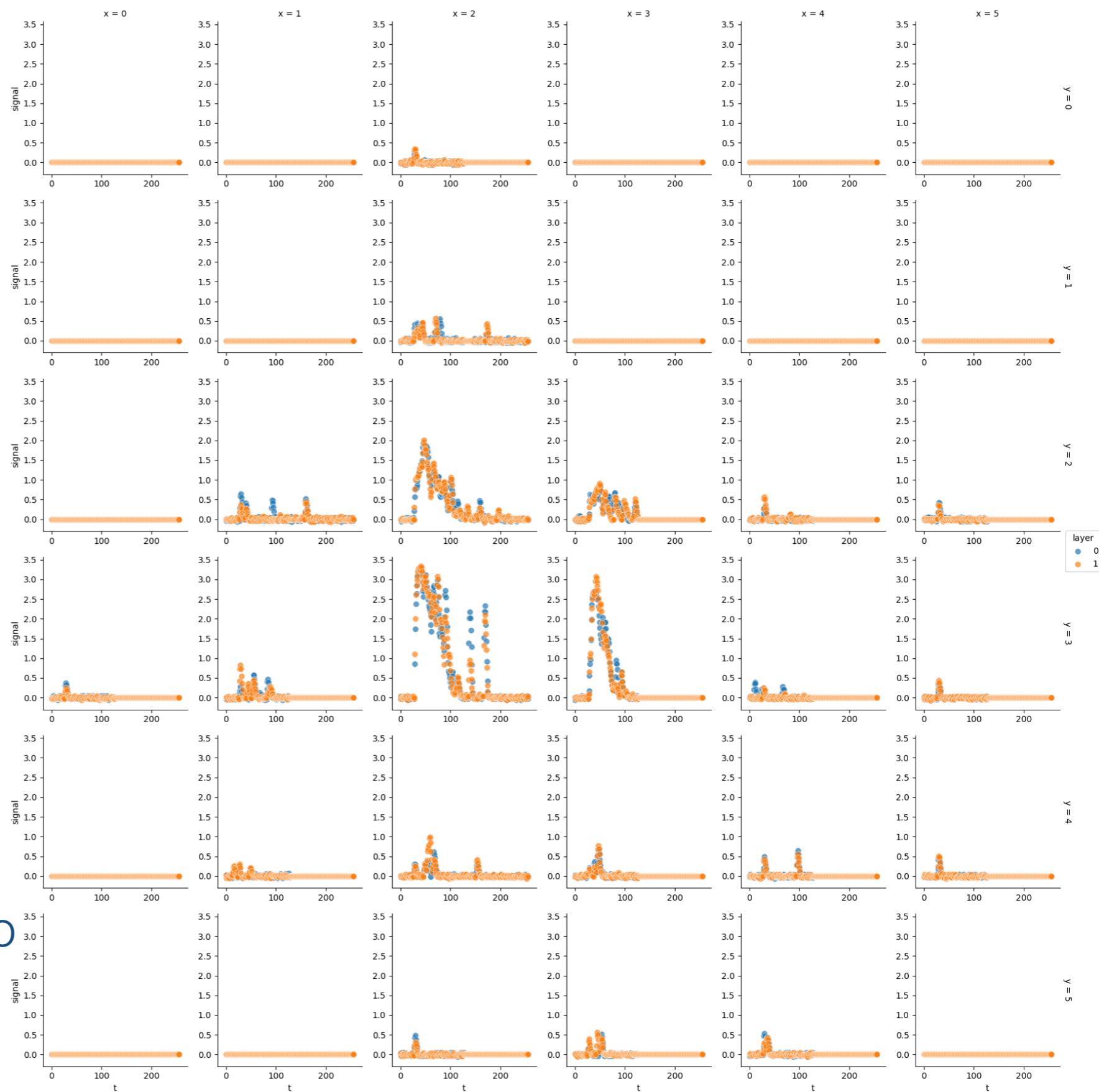
event data

Dimensions:  
 $(N, N, T, 2)$

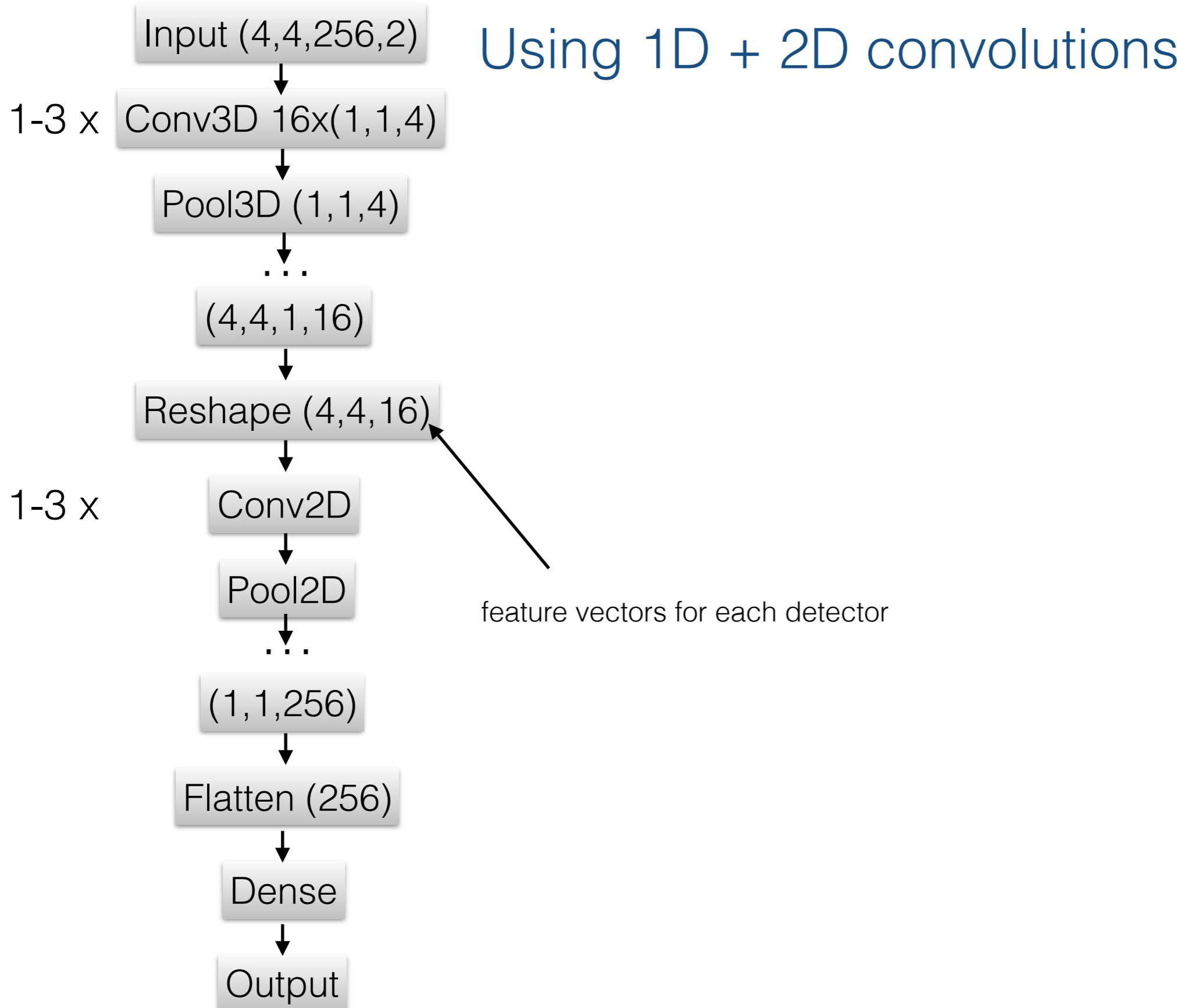
Waveform  
detector  
layers

$N=4-8, T=128-256$

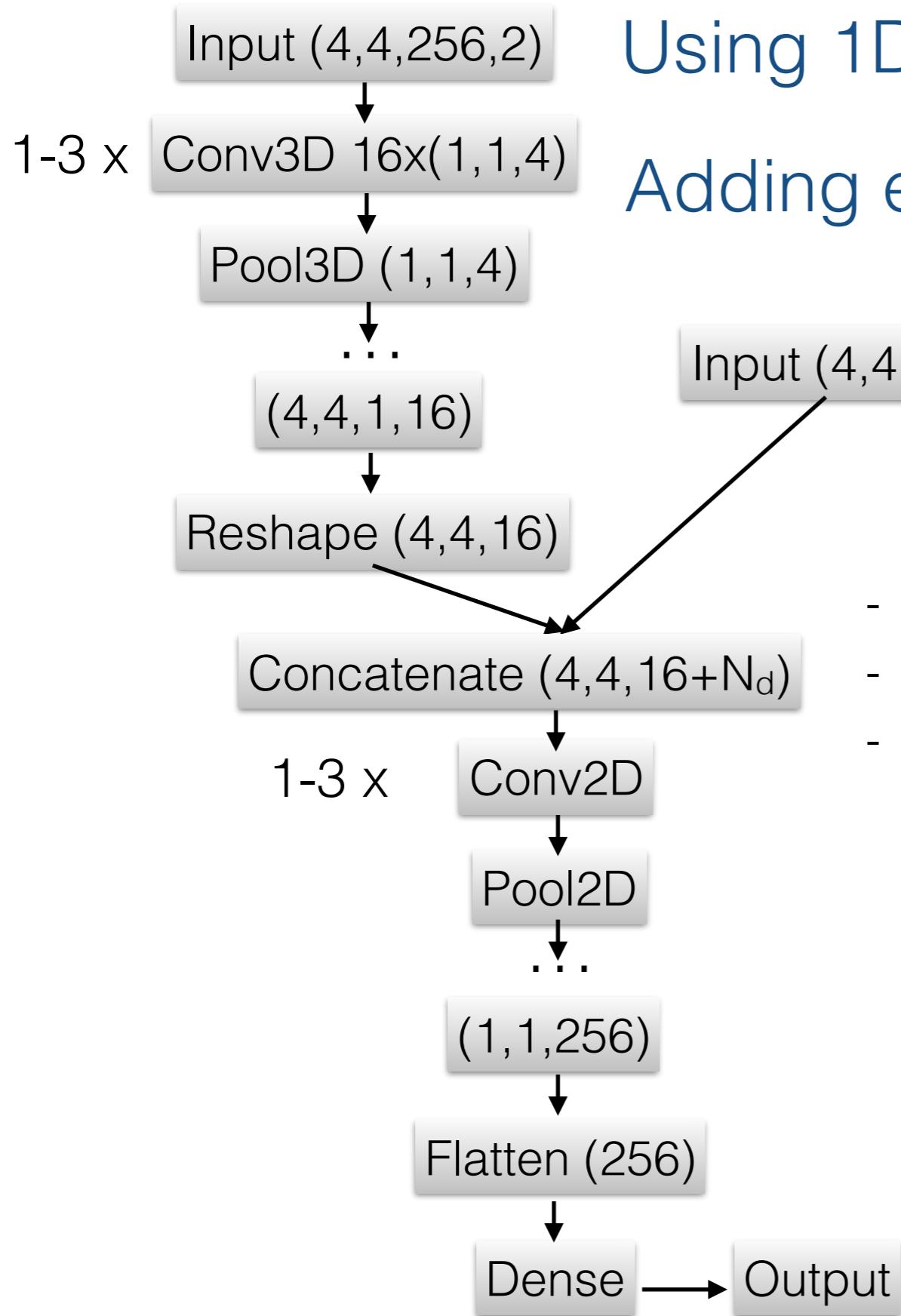
Standard SD  
reconstruction is used to  
center image around  
shower core



# SD reconstruction NN architecture



# SD reconstruction NN architecture

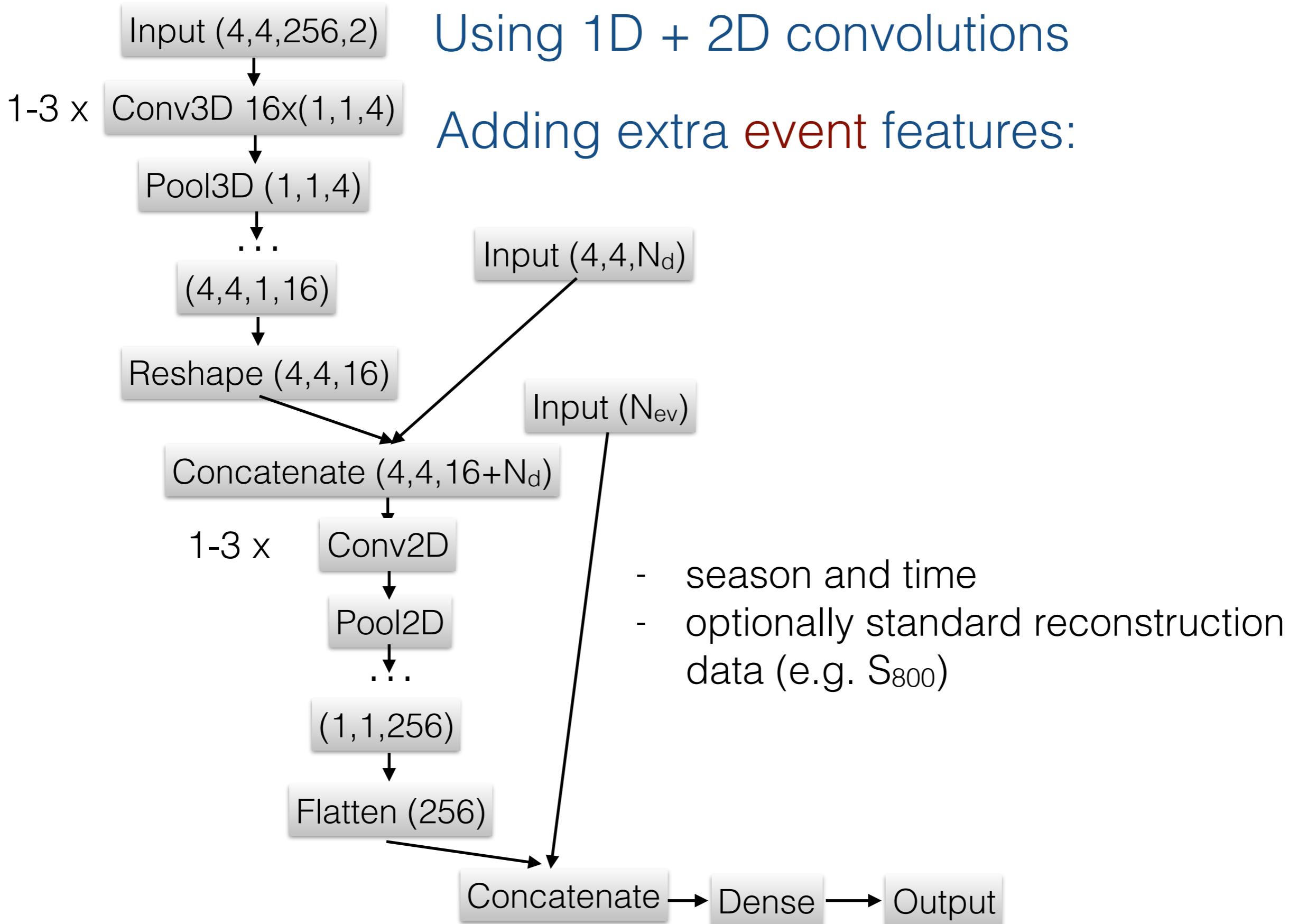


Using 1D + 2D convolutions

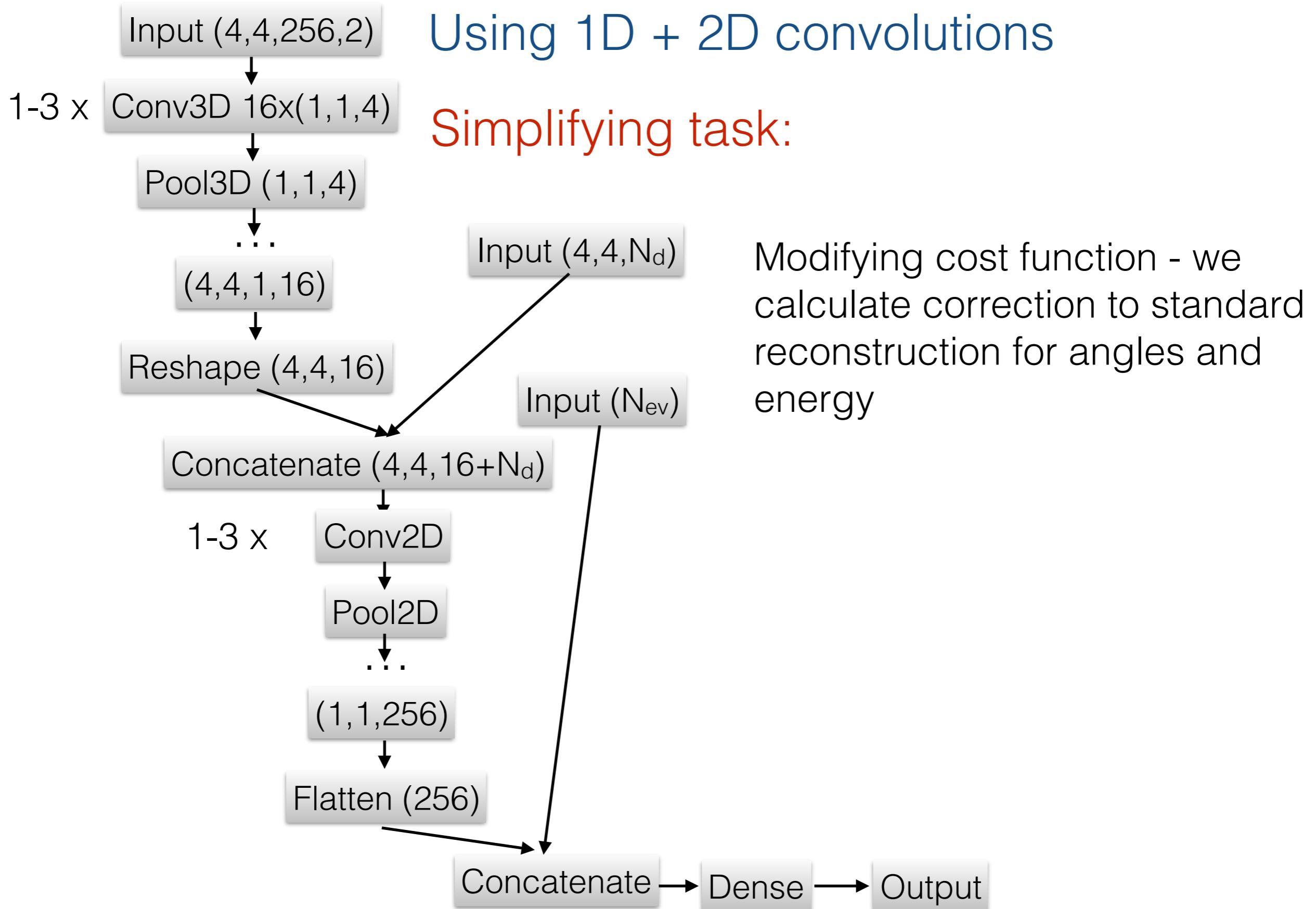
Adding extra detector features:

- Exact detector position
- Detector state (on/off/saturated)
- Standard reconstruction parameters (integral signal, timing relative to plane front)

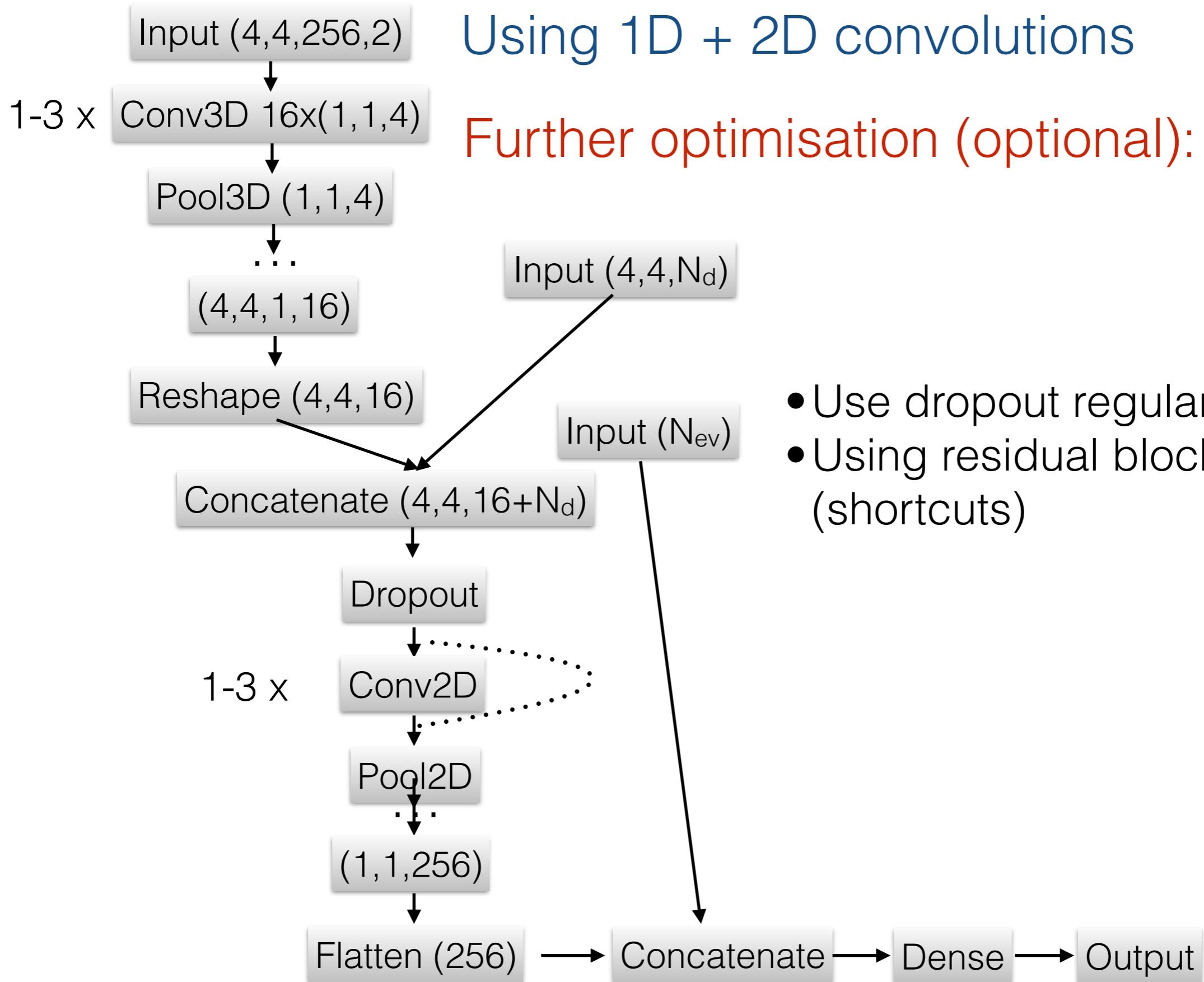
# SD reconstruction NN architecture



# SD reconstruction NN architecture



# SD reconstruction NN architecture



# Training the model

- Minimizing mean square error
- Adaptive learning rate (adadelta optimizer arxiv 1212.5701)
- Number of training samples  $\sim 10^6$  (100 GB data) - do not fit into RAM). hdf container is used и generator API in keras
- Number of weights to learn  $10^5 - 10^6$
- Regularization to avoid overfitting:
  - L2
  - dropout
  - noise layers
- Optimizing network architecture hyper-parameters (hyperopt package)
- Hardware: NVIDIA GTX-1080-Ti GPU
- Instruments: python, numpy, tensorflow, keras, h5py

# How to see that model does job

in presence of unavoidable uncertainty

Explained variance score

$$EV(y, \hat{y}) = 1 - \frac{Var(y - \hat{y})}{Var(y)}$$

$y$  - true value of quantity being predicted (in our case, error of parametric reconstruction)

$\hat{y}$  - model estimate of  $y$

# How to see that model does job

in presence of unavoidable uncertainty

Explained variance score

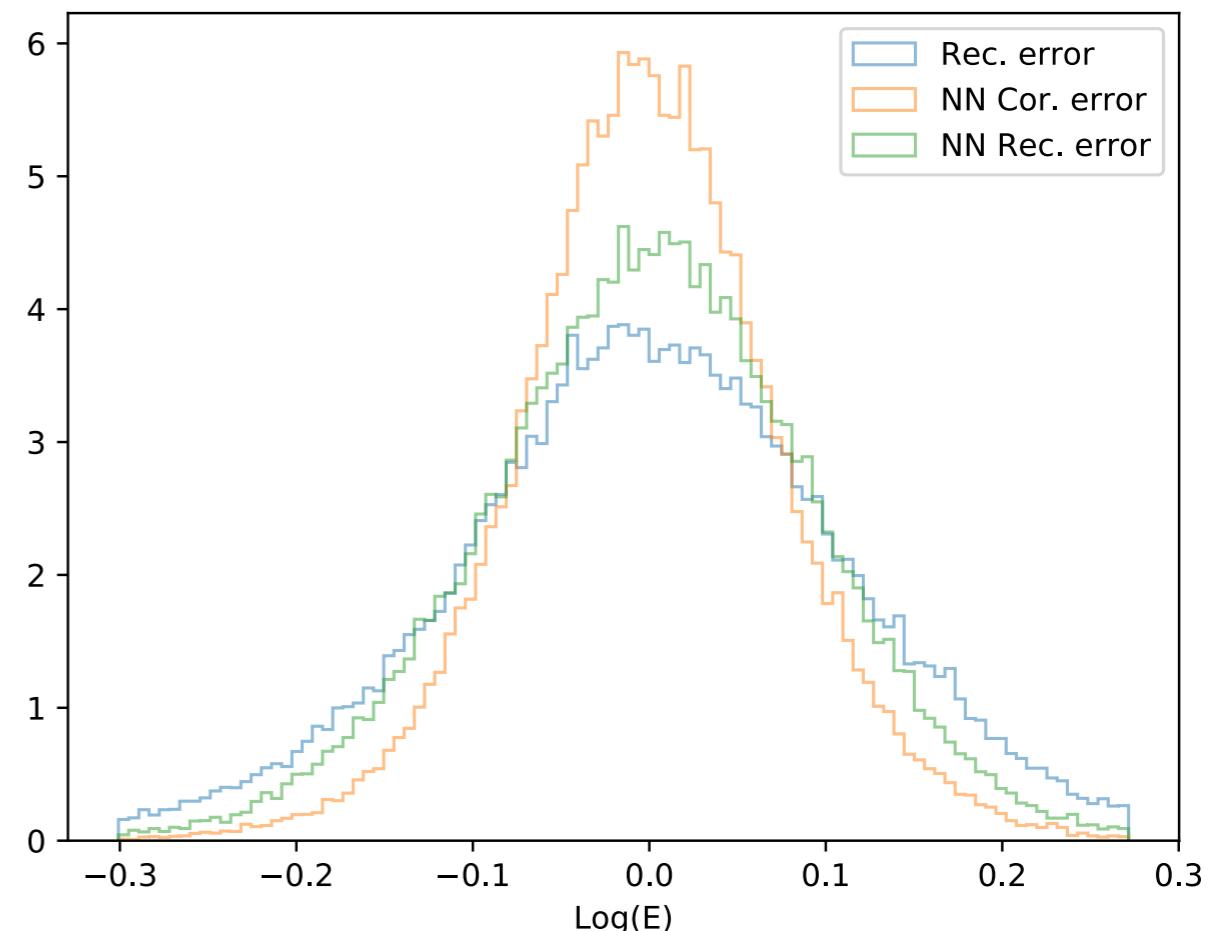
$$EV(y, \hat{y}) = 1 - \frac{Var(y - \hat{y})}{Var(y)}$$

$y$  - true value of quantity being predicted (in our case, error of standard reconstruction)

$\hat{y}$  - model estimate of  $y$

More visually:

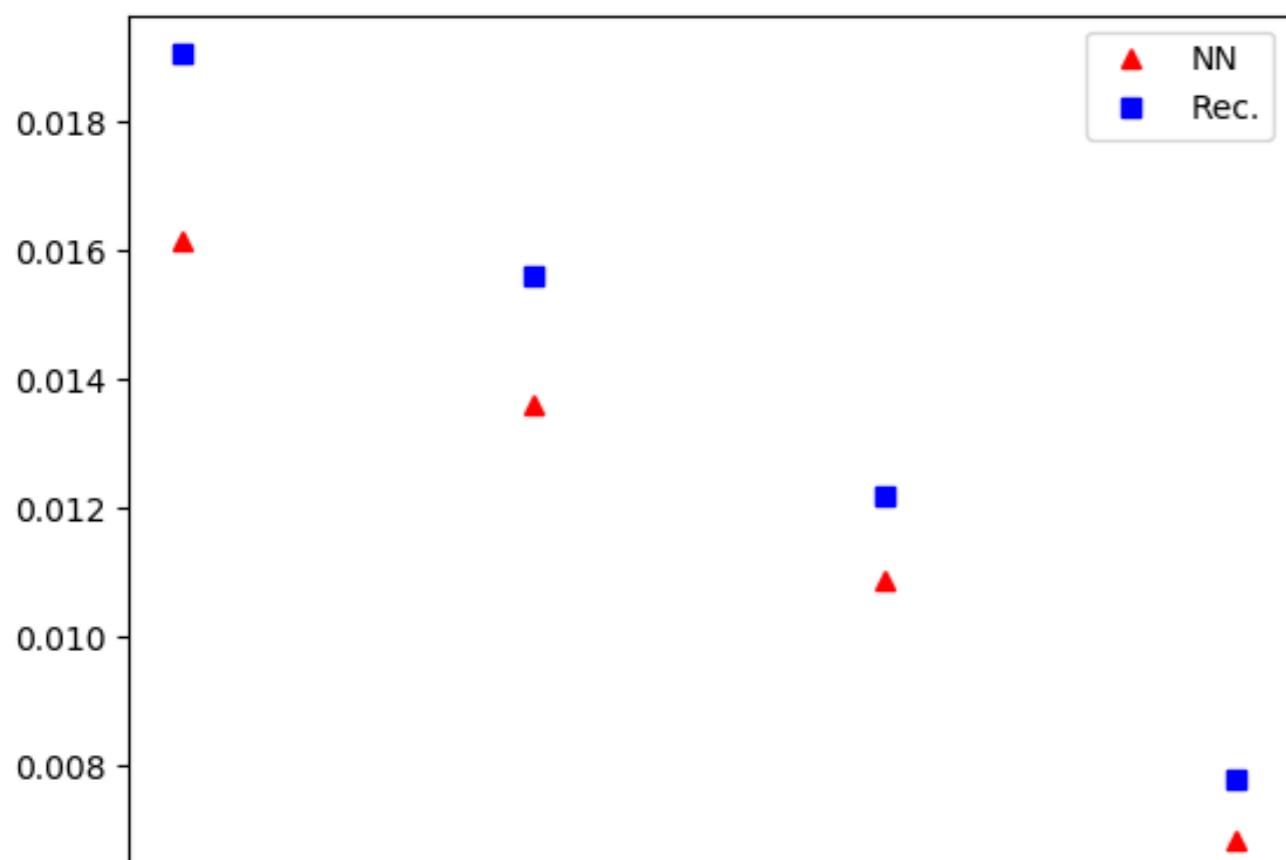
Compare error distribution  
in two approximations



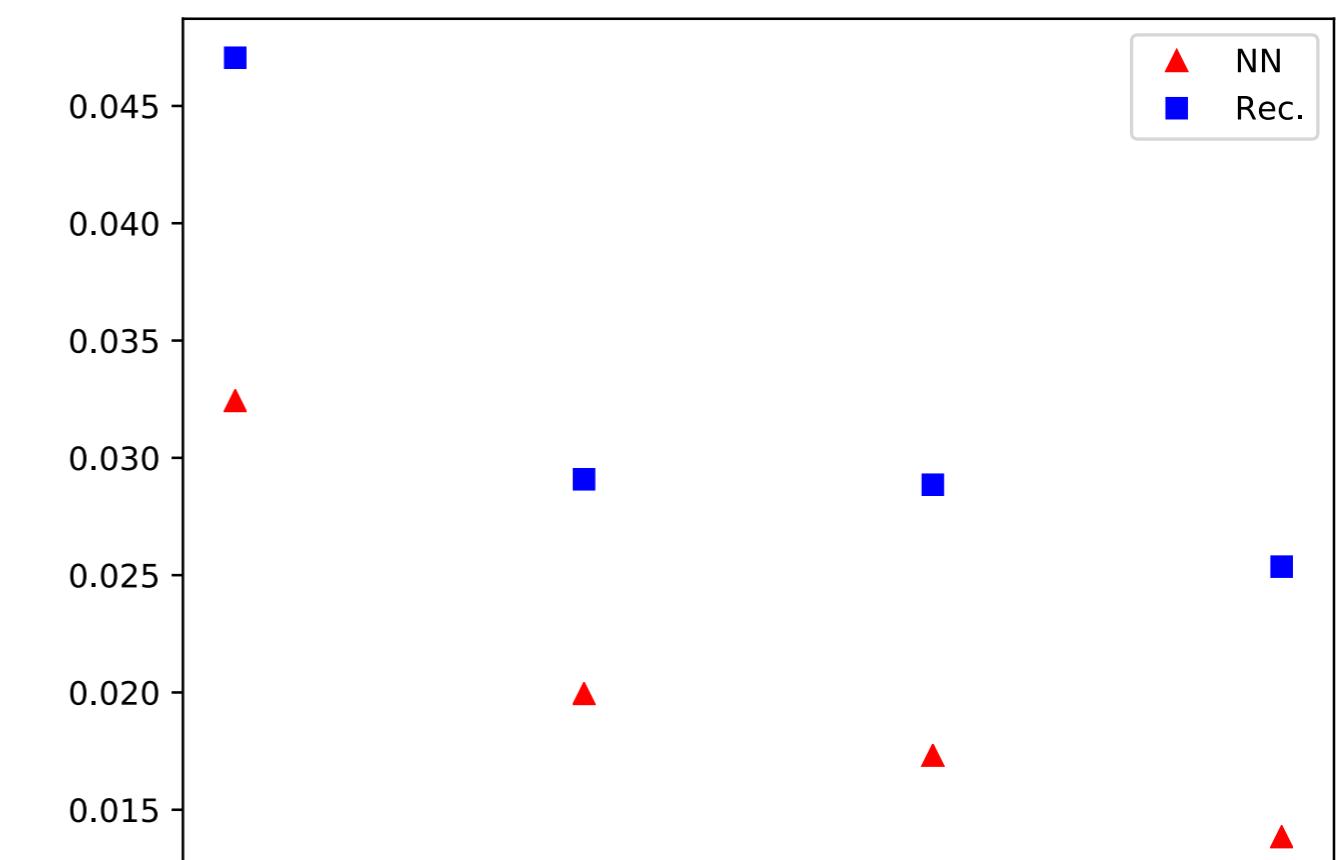
# Preliminary results

## Zenith angle reconstruction errors

$\Delta \cos(\theta)$  nuclei



$\Delta \cos(\theta)$  photons



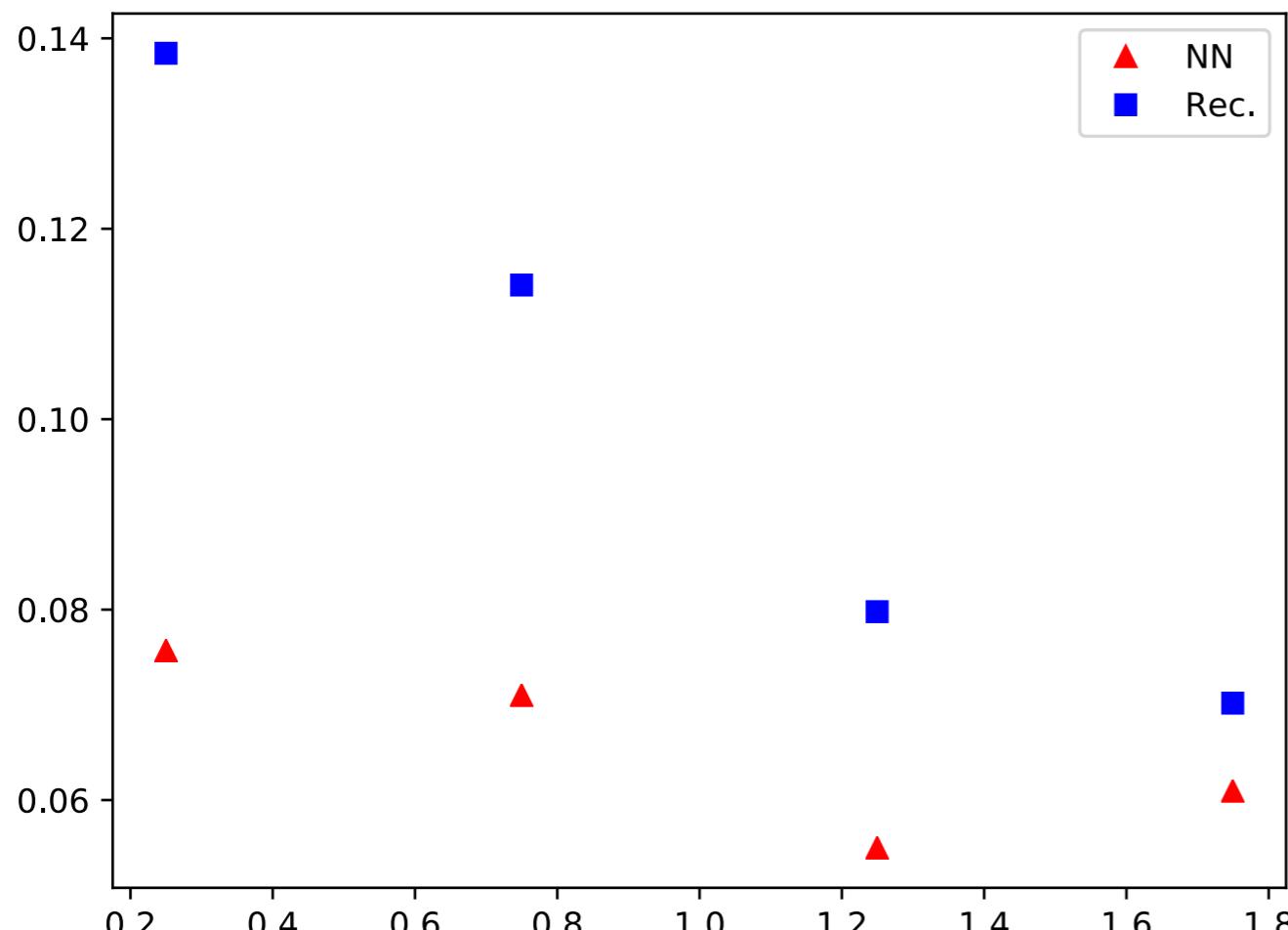
$\log(E/EeV)$

$\log(E/EeV)$

# Preliminary results

Energy reconstruction errors (nuclei primaries)

$$\Delta E/E$$



$$\log(E/EeV)$$

# EAS modelling

- MC: CORSIKA
- HE hadronic interactions: QGSJETII-03 (QGSJETII-04 in preparation)
- LE hadronic interactions: FLUKA
- EM processes: EGS4
- Detector response: GEANT4
- Event sampling:
  - Energy sampling  $E^{-1}$
  - Mass composition: H, He, N, Fe (1:1:1:1)
  - Isotropic primary flux with zenith angles < 45 degrees
  - Standard energy spectrum reconstruction cuts applied